IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f)

NT APPLICATION of

T& TRADentors: Keith D. Allen

Appln. No.: 10/005,220

Filed:

December 4, 2001

Title:

Transgenic Mice Containing RPTPB Tyrosine Phosphatase Gene Disruptions

Order/Docket No. Deposit Account No.

R-741 50-1271

Customer No.

26619

Date: November 25, 2002

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Enclosed is a copy of the Notice to File Missing Parts. 1. 🗷
- 2. ເ Signed Declaration enclosed: ☑ Original
- ☐ facsimile/copy Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned. 3. ເ≊ 4.
- Enclosed is the sequence listing on paper and a diskette containing said sequence listing.
- Applicants state that the content of the sequence listing recorded in computer readable form is identical 5. 🗆 to the paper copy of the sequence listing and includes no new matter, as required by 37 CFR §1.821. 6. 🗷
- Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

Basic Filing Fee Total Claims	TILING FEE IS B				\$370.00	\$	370.00	
	18	minus 20 =		x	9.00	 		—
Independent Claims	3	minus 3 =		L		+	0.00	203
10. Multiple Dependent Claims		minus 3 =		X	42.00		0.00	202
11. Surcharge for filing Declaration/filing fee late					140.00	+	0.00	
12. FILING FEE: S CHARGE AUTHORIZATION					65.00	+	65.00	205
Original due date: Septeml	per 30, 2002				ICLOSED =	\$	435.00	
14. Petition is hereby made to of this response, for which the	e requisite fee is e	nal due date to co enclosed. (2 mont	ver the date		\$ 55. / 200. 460. / 720.		200.00	
15. If Assignment is included, a	add recording fee						-00.00	
5. If Assignment is included, ε6.	add recording fee		TOTAL FEE		\$ 40.	+	40.00	581

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road Redwood City, CA 94063 Tel: (650) 569-5100 Fax: (650) 569-5280

Aaron T. Hokamura, Reg. No. 51,810

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence and its listed enclosures are being deposited with the United States Postal Service as First Class Mail, postage paid, in an envelope addressed to: U.S. Commissioner for Patents and Trademarks, Box Sequence,

		•	,	,	v
Signed:			m	ر ف	
Name:	Don Mixe	on			





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER FILING/RECEIPT DATE APPLICATION NUMBER 12/04/2001 Keith D. Allen R-741 10/005,220

DELTAGEN, INC. 740 Bay Road Redwood City, CA 94603

CONFIRMATION NO. 6858 FORMALITIES LETTER *OC000000008541511*

Date Mailed: 07/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$521 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$956 for a Small Entity

• \$370 Statutory basic filing fee.

12/05/2002 NMOHAMM1 00000103 501271 10005220

\$65 Late oath or declaration Surcharge.

370,00 CH 01 FC:2001 65.00 CH

Total additional claim fee(s) for this application is \$521

02 FC:2051

- \$45 for 5 total claims over 20.
- \$336 for 8 independent claims over 3.
- \$140for multiple dependent claim surcharge.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE